

12/20/01

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> (Only for new nonprovisional applications under 37 CFR 1.53(b))	Attorney Docket No.	P21074	Total Pages	
	Inventor(s) or Application Identifier			
	Tsunefumi TAKAHASHI			
Title: LEGAL REASONING ANALYSIS METHODOLOGY AND DEVICE				

ADDRESS TO:

 Assistant Commissioner for Patents  
 Box Patent Application  
 Washington, DC 20231

APPLICATION ELEMENTS	ACCOMPANYING APPLICATION PARTS
1. <input checked="" type="checkbox"/> Fee Transmittal Form (Submit an original, and a duplicate for fee processing)  2. <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. a. <input type="checkbox"/> Small Entity Statement(s)  3. <input checked="" type="checkbox"/> Specification [Total Pages <u>36</u> ] (preferred arrangement set forth below) - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure  <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets <u>18</u> ]  <input checked="" type="checkbox"/> Oath or Declaration [Total Pages <u>3</u> ] a. <input type="checkbox"/> Newly executed (original or copy) <input checked="" type="checkbox"/> Unexecuted b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 20 completed) [Note Box 6 below] i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).  <input type="checkbox"/> Incorporation By Reference (useable if Box 5b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.  7. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76  8. <input type="checkbox"/> CD-Rom or CD-R in duplicate, large table or Computer Program (Appendix)	9. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies  10. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))  11. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee)  12. <input type="checkbox"/> English Translation Document (if applicable)  13. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations  14. <input type="checkbox"/> Preliminary Amendment  15. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)  16. <input checked="" type="checkbox"/> Figure of Drawing to be published <u>1</u>  17. <input checked="" type="checkbox"/> Foreign priority claimed a. <input checked="" type="checkbox"/> Claim of Priority b. <input type="checkbox"/> Certified Copy of Priority Document(s)  18. <input type="checkbox"/> Assignee: _____  19. <input checked="" type="checkbox"/> Other: <u>Cover Letter Filed Under 37. C.F.R. 1.53(b) &amp; (f)</u> _____ _____ _____ _____
20. If a <b>CONTINUING APPLICATION</b> , check appropriate box and supply the requisite information: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior Application No. _____ / _____, filed _____ Prior application information: Examiner: _____ Group Art Unit. _____	
21. <input type="checkbox"/> Amend the specification by inserting before the first line the sentence: This application is a <u>  </u> continuation-in-part, <u>  </u> continuation, <u>  </u> divisional, of Application No. _____ / _____, filed _____	

Address all future correspondence to **Customer No. 7055** at the present address of:
 GREENBLUM & BERNSTEIN, P.L.C.  
 1941 Roland Clarke Place  
 Reston, VA 20191  
 (703) 716-1191

 12/20/01  
 Date


07055

PATENT TRADEMARK OFFICE

 Leshe Paperner Reg. No. 33,32  
 Signature

 Bruce H. Bernstein, Reg. No. 29,027  
 Typed or Printed Name

<b>FEE TRANSMITTAL</b>		<b>Complete if Known</b>	
		Application Number	Not Yet Assigned
		Filing Date	Concurrently Herewith
		First Named Inventor	Tsunefumi TAKAHASHI
		Group Art Unit	Unassigned
		Examiner Name	Unassigned
<b>TOTAL AMOUNT OF PAYMENT</b>		<b>(\$)</b>	<b>454.00</b>
<b>Attorney Docket Number</b>		P21074	

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)																																																																																																																																																																																																																																																																																																								
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:</p> <p>Deposit Account Number <u>19-0089</u></p> <p>Deposit Account Name <u>GREENBLUM &amp; BERNSTEIN, P.L.C.</u></p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17, including any required extension of time fees in any concurrent or future reply requiring a petition for extension of time for its timely submission (37 CFR 1.136(a)(3))</p> <p><input type="checkbox"/> Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b)</p> <p><input checked="" type="checkbox"/> Applicant Claims Small Entity Status See 37 CFR 1.27.</p> <p>2. <input checked="" type="checkbox"/> Payment Enclosed:</p> <p><input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p> <p style="text-align: center;"><b>FEE CALCULATION (fees effective 12/29/99)</b></p> <p><b>1. FILING FEE</b></p> <table style="width:100%;"> <tr> <th>Large Fee Code</th> <th>Entity Fee (\$)</th> <th>Small Fee Code</th> <th>Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> <tr> <td>101</td> <td>740</td> <td>201</td> <td>370</td> <td>Utility filing fee</td> <td><u>\$370.00</u></td> </tr> <tr> <td>106</td> <td>330</td> <td>206</td> <td>165</td> <td>Design filing fee</td> <td>_____</td> </tr> <tr> <td>107</td> <td>510</td> <td>207</td> <td>255</td> <td>Plant filing fee</td> <td>_____</td> </tr> <tr> <td>108</td> <td>740</td> <td>208</td> <td>370</td> <td>Reissue filing fee</td> <td>_____</td> </tr> <tr> <td>114</td> <td>160</td> <td>214</td> <td>80</td> <td>Provisional filing fee</td> <td>_____</td> </tr> <tr> <td colspan="5"><b>SUBTOTAL (1)</b></td> <td><b>(\$)</b> <u>370.00</u></td> </tr> </table> <p><b>2. CLAIMS</b></p> <table style="width:100%;"> <tr> <th>Total Claims</th> <th>Extra</th> <th>Fee from below</th> <th>Fee Paid</th> </tr> <tr> <td><u>14</u></td> <td><u>-20=</u></td> <td><u>0</u></td> <td><u>0.00</u></td> </tr> <tr> <td><u>Independent</u></td> <td><u>5</u></td> <td><u>-3=</u></td> <td><u>2</u></td> </tr> <tr> <td><u>Claims</u></td> <td></td> <td></td> <td><u>42</u></td> </tr> <tr> <td><u>Multiple Dependent Claims</u></td> <td></td> <td></td> <td><u>0</u></td> </tr> </table> <table style="width:100%;"> <tr> <th>Large Fee Code</th> <th>Entity Fee (\$)</th> <th>Small Fee Code</th> <th>Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> <tr> <td>103</td> <td>18</td> <td>203</td> <td>9</td> <td>Claims in excess of 20</td> <td>_____</td> </tr> <tr> <td>102</td> <td>84</td> <td>202</td> <td>42</td> <td>Independent claims in excess of 3</td> <td>_____</td> </tr> <tr> <td>104</td> <td>280</td> <td>204</td> <td>140</td> <td>Multiple dependent claim</td> <td>_____</td> </tr> <tr> <td>109</td> <td>84</td> <td>209</td> <td>42</td> <td>Reissue independent claims over original patent</td> <td>_____</td> </tr> <tr> <td>110</td> <td>18</td> <td>210</td> <td>9</td> <td>Reissue claims in excess of 20 and over original patent</td> <td>_____</td> </tr> <tr> <td colspan="5"><b>SUBTOTAL (2)</b></td> <td><b>(\$)</b> <u>84.00</u></td> </tr> </table>	Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid	101	740	201	370	Utility filing fee	<u>\$370.00</u>	106	330	206	165	Design filing fee	_____	107	510	207	255	Plant filing fee	_____	108	740	208	370	Reissue filing fee	_____	114	160	214	80	Provisional filing fee	_____	<b>SUBTOTAL (1)</b>					<b>(\$)</b> <u>370.00</u>	Total Claims	Extra	Fee from below	Fee Paid	<u>14</u>	<u>-20=</u>	<u>0</u>	<u>0.00</u>	<u>Independent</u>	<u>5</u>	<u>-3=</u>	<u>2</u>	<u>Claims</u>			<u>42</u>	<u>Multiple Dependent Claims</u>			<u>0</u>	Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid	103	18	203	9	Claims in excess of 20	_____	102	84	202	42	Independent claims in excess of 3	_____	104	280	204	140	Multiple dependent claim	_____	109	84	209	42	Reissue independent claims over original patent	_____	110	18	210	9	Reissue claims in excess of 20 and over original patent	_____	<b>SUBTOTAL (2)</b>					<b>(\$)</b> <u>84.00</u>	<p><b>3. 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late filing fee or oath	_____	127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	_____	139	130	139	130	Non-English specification	_____	147	2,520	147	2,520	For filing a request for reexamination	_____	112	920*	112	920*	Requesting publication of SIR Prior to Examiner action	_____	113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	_____	115	110	215	55	Extension for response within 1st month	_____	116	400	216	200	Extension for response within 2nd month	_____	117	920	217	460	Extension for response within 3rd month	_____	118	1,440	218	720	Extension for response within 4th month	_____	128	1,960	228	980	Extension for response within 5th month	_____	119	320	219	160	Notice of Appeal	_____	120	320	220	160	Filing a brief in support of an appeal	_____	121	280	221	140	Request for oral hearing	_____	138	1,510	138	1,510	Petition to institute a public use proceeding	_____	140	110	240	55	Petition to revive unavoidably abandoned application	_____	141	1,280	241	640	Petition to revive unintentionally abandoned application	_____	142	1,280	242	640	Utility issue fee (or reissue)	_____	143	460	243	230	Design issue fee	_____	144	620	244	310	Plant issue fee	_____	122	130	122	130	Petitions to the Commissioner	_____	123	50	123	50	Petitions related to provisional applications	_____	126	180	126	180	Submission of IDS	_____	581	40	581	40	Recording each patent assignment per property (times number of properties)	_____	146	740	246	370	Filing a submission after final rejection (37 CFR 1.129(a))	_____	149	740	249	370	For each additional invention to be examined (37 CFR 1.129(b))	_____	179	740	279	370	Request for Continued Examination (RCE)	_____	169	900	169	900	Request for expedited examination of a design application	_____	Other fee (specify) _____					_____	Other fee (specify) _____					_____	<b>SUBTOTAL (3)</b>					<b>(\$)</b> <u>0.00</u>
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122	130	122	130	Petitions to the Commissioner	_____																																																																																																																																																																																																																																																																																																				
123	50	123	50	Petitions related to provisional applications	_____																																																																																																																																																																																																																																																																																																				
126	180	126	180	Submission of IDS	_____																																																																																																																																																																																																																																																																																																				
581	40	581	40	Recording each patent assignment per property (times number of properties)	_____																																																																																																																																																																																																																																																																																																				
146	740	246	370	Filing a submission after final rejection (37 CFR 1.129(a))	_____																																																																																																																																																																																																																																																																																																				
149	740	249	370	For each additional invention to be examined (37 CFR 1.129(b))	_____																																																																																																																																																																																																																																																																																																				
179	740	279	370	Request for Continued Examination (RCE)	_____																																																																																																																																																																																																																																																																																																				
169	900	169	900	Request for expedited examination of a design application	_____																																																																																																																																																																																																																																																																																																				
Other fee (specify) _____					_____																																																																																																																																																																																																																																																																																																				
Other fee (specify) _____					_____																																																																																																																																																																																																																																																																																																				
<b>SUBTOTAL (3)</b>					<b>(\$)</b> <u>0.00</u>																																																																																																																																																																																																																																																																																																				

<b>SUBMITTED BY</b>				<b>Complete (if applicable)</b>	
Typed or Printed Name		Bruce H. Bernstein		Reg. Number	
Signature		<i>Leslie J. Papernier</i>		29,027	
		Date		12/20/01	
		Reg. No.		33,329	
		Deposit Account User ID			

P21074.P03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Tsunefumi TAKAHASHI

Serial No : Not Yet Assigned

Filed : Concurrently Herewith

For : LEGAL REASONING ANALYSIS METHODOLOGY AND DEVICE

COVER LETTER ACCOMPANYING U.S. PATENT APPLICATION  
FILED UNDER 37 C.F.R. 1.53(b)and 1.53(f)

Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

Enclosed is a new patent application for filing in the U.S. Patent and Trademark Office under 37 C.F.R. 1.53(b)and 1.53(f) in which the Declaration and Power of Attorney attached thereto are in unexecuted form. An executed Declaration and Power of Attorney will be filed within the time period set forth in the Notice to File Missing Parts of Application, unless such time period has been extended by the filing of a petition accompanied by the extension fee under the provisions of 37 C.F.R. 1.136(a).

Related to this, a correspondence address is provided in the unexecuted Declaration and Power of Attorney, and is as follows:

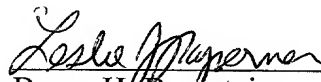
GREENBLUM & BERNSTEIN, P.L.C.  
1941 Roland Clarke Place  
Reston, Va. 20191

The above-identified application includes:

- 36 pages of specification (including Abstract);
- 14 total claims; with 5 independent;
- 18 sheets of drawings with 18 figures;
- an unexecuted Declaration and Power of Attorney.

If there are any questions, please contact the undersigned at the below-listed telephone number.

Respectfully submitted,  
Tsunefumi TAKAHASHI

  
Bruce H. Bernstein *Reg. No. 33,329*  
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December 20, 2001  
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